



GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

MILESTONE GUARANTY AND ASSURANCE CORP.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

Yes  No

Please check the appropriate box:

1.

- a. Banks
- b. Offshore Banking Units
- c. Quasi-Banks
- d. Trust Entities
- e. Non-Stock Savings and Loan Associations
- f. Pawnshops
- g. Foreign Exchange Dealers
- h. Money Changers
- i. Remittance Agents
- j. Electronic Money Issuers
- k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- a. Insurance Companies
- b. Insurance Agents
- c. Insurance Brokers
- d. Professional Reinsurers
- e. Reinsurance Brokers
- f. Holding Companies
- g. Holding Company Systems
- h. Pre-need Companies
- i. Mutual Benefit Association
- j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- a. Securities Dealers
- b. Securities Brokers
- c. Securities Salesman
- d. Investment Houses
- e. Investment Agents and Consultants
- f. Trading Advisors
- g. Other entities managing Securities or rendering similar services
- h. Mutual Funds or Open-end Investment Companies
- i. Close-end Investment Companies
- j. Common Trust Funds or Issuers and other similar entities
- k. Transfer Companies and other similar entities
- l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- m. Entities administering or otherwise dealing in valuable objects
- n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4.  Jewelry dealers in precious metals, who, as a business, trade in precious metals

5.  Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- a. acting as a formation agent of juridical persons
- b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- a. managing of client money, securities or other assets
- b. management of bank, savings or securities accounts
- c. organization of contributions for the creation, operation or management of companies
- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8.  None of the above

Describe nature of business:

None-Life Insurance

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

Yes  No

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

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**CORPORATE NAME:** MILESTONE GUARANTY AND ASSURANCE CORP.

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	13,000,000	100	1,300,000,000.00
<b>TOTAL</b>		<b>13,000,000</b>	<b>TOTAL P</b>	<b>1,300,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	15	Common	13,000,000		100.00	1,300,000,000.00	
<b>TOTAL</b>			<b>13,000,000</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,300,000,000.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
N/A		N/A					

Percentage of Foreign Equity : **TOTAL** **TOTAL** **TOTAL P**  
**TOTAL SUBSCRIBED P** 1,300,000,000.00

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	15	Common	10,660,007	100	1,066,000,700.00	100.00
<b>TOTAL</b>			<b>10,660,007</b>	<b>TOTAL P</b>	<b>1,066,000,700.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
N/A		N/A				
<b>0.00 %</b>			<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,066,000,700.00</b>	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** MILESTONE GUARANTY AND ASSURANCE CORP.

## DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Salvador M. Mison	Filipino	N		M	Y			
2. David P. Mercado, Jr.	Filipino	N	C	M	Y	Managing Director		
3. Norberto V. Sauco	Filipino	N	M	M	Y			
4. Nicasio F. Rollan III	Filipino	N	M	M	Y	President and CEO	N/M	
5. Celso N. Sarto	Filipino	N	M	M	Y	EVP and COO	C/M, A/M	
6. Romulo Jei G. Delos Reyes	Filipino	N	M	M	Y	VP Comptroller		
7. Edna Marcoleta	Filipino	N	M	F	Y	N/A	C/M, A/C, N/M	
8. Tirso Cruz III	Filipino	N	M	M	Y	N/A	C/C, N/C	
9. Efren C. Laxamana	Filipino	N	M	M	Y	N/A		
10. Romarico I. Gatchalian	Filipino	N	M	M	Y	N/A	A/M	
11. Flaviana C. Garces	Filipino	N		F	Y	Treasurer/ Compliance Officer		
12. Richie Q. Caranto	Filipino	N		M	N	Corporate Secretary		
13. Virgilio V. Cruz	Filipino	N		M	N	SVP		
14. Nothing follows								
15.								

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** MILESTONE GUIARANTY AND ASSURANCE CORP.

**TOTAL NUMBER OF STOCKHOLDERS:** 15 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES:** 13

**TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:** 1,810,847,681.00

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 David P. Mercado, Jr. Filipino	Common	4,004,000	400,400,000.00	30.80%	326,610,700.00	
	<b>TOTAL</b>	<b>4,004,000</b>	<b>400,400,000.00</b>			
2. Quickway Holdings, Inc. Filipino	Common	3,594,739	359,473,900.00	27.65%	286,994,000.00	
	<b>TOTAL</b>	<b>3,594,739</b>	<b>359,473,900.00</b>			
3. Romulo Jei G. Delos Reyes Filipino	Common	2,704,000	270,400,000.00	20.80%	210,160,000.00	
	<b>TOTAL</b>	<b>2,704,000</b>	<b>270,400,000.00</b>			
4. Efren C. Laxamana Filipino	Common	130,000	13,000,000.00	1.00%	13,000,000.00	
	<b>TOTAL</b>	<b>130,000</b>	<b>13,000,000.00</b>			
5. Salvador M. Mison Filipino	Common	130,000	13,000,000.00	1.00%	13,000,000.00	
	<b>TOTAL</b>	<b>130,000</b>	<b>13,000,000.00</b>			
6. Norberto V. Saucó Filipino	Common	545,100	54,510,000.00	4.19%	54,510,000.00	
	<b>TOTAL</b>	<b>545,100</b>	<b>54,510,000.00</b>			
7. Flaviana C. Garces Filipino	Common	650,000	65,000,000.00	5.00%	51,409,900.00	
	<b>TOTAL</b>	<b>650,000</b>	<b>65,000,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		MILESTONE GUIARANTY AND ASSURANCE CORP.				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		15		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		13
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		1,810,847,681.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. Donald A. Aparri Filipino	Common	800,000	80,000,000.00	6.15%	75,000,000.00	
	<b>TOTAL</b>		<b>80,000,000.00</b>			
9. Edna M. Marcoleta Filipino	Common	65,000	6,500,000.00	0.50%	6,500,000.00	
	<b>TOTAL</b>		<b>6,500,000.00</b>			
10. Efren Docena Filipino	Common	152,159	15,215,900.00	1.17%	15,215,900.00	
	<b>TOTAL</b>		<b>15,215,900.00</b>			
11. Celso N. Sarto Filipino	Common	64,900	6,490,000.00	0.50%	6,490,000.00	
	<b>TOTAL</b>		<b>6,490,000.00</b>			
12. Kirby V. Macalalad Filipino	Common	160,000	16,000,000.00	1.24%	7,100,000.00	
	<b>TOTAL</b>		<b>16,000,000.00</b>			
13. Tirso Cruz III Filipino	Common	1	100.00		100.00	
	<b>TOTAL</b>		<b>100.00</b>			
14. Romarico I. Gatchalian Filipino	Common	1	100.00		100.00	
	<b>TOTAL</b>		<b>100.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

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<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		15	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		13	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15. Nicasio F. Rollan III Filipino		100	10,000.00		10,000.00	
	<b>TOTAL</b>	<b>100</b>	<b>10,000.00</b>			
16. Nothing follows						
	<b>TOTAL</b>					
17.						
	<b>TOTAL</b>					
18.						
	<b>TOTAL</b>					
19.						
	<b>TOTAL</b>					
20.						
	<b>TOTAL</b>					
21. OTHERS (Indicate the number of the remaining stockholders)						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>1,300,000,000.00</b>			
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>1,066,000,700.00</b>	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

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===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME: MILESTONE GUARANTY AND ASSURANCE CORP.</b>			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	1,670,677.83	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	7,000,000.00	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS/ RTB/FXTN	378,900,000.00	N/A	
1.5 OTHERS	N/A	N/A	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
	N/A	N/A	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
	N/A		
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR (Tentative)</b>		284,598,315.78	
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	N/A		
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
N/A	N/A	N/A	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	Reg. No. 20907/Non-Life Ins.	N/A	2019/44-R
<b>DATE ISSUED:</b>	June 20, 1962/Sep. 27, 2013		Jan. 2019
<b>DATE STARTED OPERATIONS:</b>	July 9, 1962		July 9, 1962
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
2,684,000.00	16	79	95

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, RICHIE Q. CARANTO, Corporate Secretary of MILESTONE GUARANTY AND ASSURANCE CORP. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this 29th day of June, 2022 in Makati City, Philippines.

  
**RICHIE Q. CARANTO**

(Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in Makati City on June 29, 2022 by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of PRC CPA Registration No. 0095408 bearing his photograph and signature.

Doc. No. 475  
Page No. 96  
Book No. X11  
Series of 2022.

  
**NOTARY PUBLIC**  
**RODOLFO B. MENDOZA**  
Notary Public City of Makati  
Until December 31, 2022  
PTR#MKT:8852512;Makati City/1-03-2022  
IBP#170953;Pasig City/12-20-2021  
MCLE VI-0013589/10-2-18/Pasig City  
Roll No. 24288/TIN-127-489-549

**BENEFICIAL OWNERSHIP DECLARATION**

**FOR THE YEAR: 2022**

**20907**

**SEC REGISTRATION NUMBER:**  
**CORPORATE NAME:**

**MILESTONE GUARANTY AND ASSURANCE CORP.**

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Mercado, David Puno Jr.	#70 Calle Industria, 3 H Seville Residences, Quezon City	Filipino	Jan. 15, 1963	169-037-270-000	28.43%	D	A

*Note: This page is not for uploading on the SEC iView.*

<sup>1</sup> For Stock Corporations.